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**ANNUAL REPORT  
OF THE  
MINNESOTA  
CLIENT SECURITY BOARD**

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ASSISTANT DIRECTOR**

July 2009

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**I. YEAR IN REVIEW**

Rule 1.10, Minnesota Rules of the Client Security Board (MRCSB), provides:

At least once a year and at such other times as the Supreme Court may order, the Board shall file with the Court a written report reviewing in detail the administration of the fund, its operation, its assets and liabilities.

This twenty-second annual report of the Minnesota Client Security Board covers the Board's fiscal year, FY2009, which began July 1, 2008, and ended on June 30, 2009.

The Client Security Board paid fifteen (15) claims this year, in the total amount of \$120,655.88(see Appendix 1, A. 1-2).<sup>1</sup>

Thirty-three (33) new claims were filed this past year. Twenty-three (23) claims were carried-over from the previous year. As noted, fifteen (15) claims were approved for payment, seventeen (17) claims were denied and no claims were withdrawn. At the end of June 2009, twenty-four (24) claims against seventeen (17) different lawyers remain pending before the Board. In some instances, related disciplinary or civil proceedings are pending, completion of which the Board is awaiting prior to being able to resolve the claim. Overall, after twenty-two years of paying claims, the Board has now paid \$5,986,890.96 on 463 claims against 130 lawyers (see Appendix 4, A. 5-8).

**Highlights.**

Due to the unique fiscal health of the fund, the Client Security Board was able to recommend that its portion of the lawyer registration fees be suspended for

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|              |                             |          |             |
|--------------|-----------------------------|----------|-------------|
| <sup>1</sup> | James L. Berg               | 8claims  | \$73,529.03 |
|              | Estate of Gregory Engwall   | 2 claims | \$28,012.18 |
|              | Estate of Charles O. Amdahl | 2 claim  | \$10,000.00 |
|              | David Gronbeck              | 1 claim  | \$ 500.00   |
|              | David McCormick             | 1 claim  | \$ 5,000.00 |
|              | Mark D. Pitzele             | 1 claim  | \$ 3,614.67 |

a year. The Court approved the CSB recommendation and suspended the portion of lawyer registration fees dedicated to the Client Security Fund from October 1, 2008, through September 30, 2009. The Court recently approved the Board's budget and extended the suspension of the fees for another year.

## II. THE CLIENT SECURITY BOARD AND ITS PROCEDURES

**Board Members.** As of June 30, 2009, the following individuals served on the Board (*see* Appendix 3; A. 4):

| <u>Name</u>           | <u>City</u> | <u>Term Expires</u>         |
|-----------------------|-------------|-----------------------------|
| Robert T. Lund, Chair | Bloomington | June 30, 2009 (second term) |
| Kenneth Butler        | Duluth      | June 30, 2011 (first term)  |
| Sheldyn Himle         | Minneapolis | June 30, 2011 (first term)  |
| Richard A. Nethercut  | Harmony     | June 30, 2009 (first term)  |
| Timothy O'Brien       | Minneapolis | June 30, 2010 (first term)  |
| Bonnie R. Russ        | St. Paul    | June 30, 2010 (second term) |
| Harriet J. Sims       | Minneapolis | June 30, 2011 (second term) |

Robert T. Lund, an attorney and Chief Executive Officer of a Bloomington, Minnesota corporation, served as the Board's Chair for the past year. Mr. Lund has now completed his second term and will be leaving the Board. Mr. Lund's attention to details and in-depth review of each claim made him a strong advocate for the victims of lawyer dishonesty. Mr. Lund always encouraged all members to participate in the discussions of the claims presented, which promoted consensus among the Board members.

At its June meeting, Harriet Sims was elected the Board's new chair. The Court recently appointed attorney Paul C. Peterson as Mr. Lund's replacement.

The MSBA nominates three of the five attorney members; currently Ms. Sims, Mr. Nethercut and Mr. O'Brien. Richard Nethercut was recently reappointed by the Court to serve a second term. Ms. Russ and Ms. Himle are the

Board's current public members. The Board's Liaison Justice from the Supreme Court is Justice Christopher Dietzen.

**Funding and Budget Procedures.** All active lawyers in Minnesota pay \$12 per year to support the Fund.<sup>2</sup> In 1998, the Supreme Court recommended informal parameters for the fund of \$1.5-million and \$2.5-million, with the Board to report to the Court if the fund drops below or exceeds these figures. Despite payouts over the past three years and the suspension of the Client Security Fund portion of lawyer registration fees, the Board projects fiscal year-end balances of \$3.2-million in June 2009 and \$3.1-million at the end of June 2010.<sup>3</sup> This is above the Court's recommended parameters and has been reported to the Court.

The Board does not directly handle any funds nor the investment of the Fund. The assessment is collected through the Office of Lawyer Registration and placed into a segregated fund within the State Treasury. This past year the assessment generated approximately \$46,000. The Board also received approximately \$98,721 in interest income and approximately \$55,000 in restitution payments from lawyers on whose behalf claims have been paid.

The Board anticipates total income from all sources this coming year of approximately \$148,862. The Board has budgeted \$244,778 in total expenditures for next year. Based upon the information presently available to the Board concerning pending claims or known potential claims, the Board budgeted \$180,680 for claims payment next year. Just over \$64,000 has been budgeted for all non-claim expenses,

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<sup>2</sup> Rule 2, Rules of the Supreme Court on Lawyer Registration. The Court adopted the Board's recommendation that the Board's allotment of lawyer registration fees be suspended for one year. Between October 1, 2008 and September 30, 2009, attorneys were not required to pay the portion of their registration fees which would have been directed to the Client Security Board.

<sup>3</sup> As noted, the Board again recommended a one year suspension of its portion of lawyer registration fees. The Court adopted the Board's recommendation. The anticipated fiscal year-end balance for 2010 is projected to be \$3.1-million.

including staff services, supplies, additional regular enhancements to the Board's computer database and attendance by two people at national conferences. In most years, the Board has in fact been able to spend less than budgeted for all non-claim payment items in its budget. (Appendix 2, A. 3.)

**Administration.** The Office of Lawyers Professional Responsibility (OLPR) provides staff services to the Client Security Board. Martin Cole has served as the Director of Client Security Board since April 2006. Prior to his appointment as Director, Mr. Cole served as Assistant Director to the Client Security Board since its creation in 1986. Assistant Director, Julie E. Bennett, along with paralegal, Tricia Jorgensen, handle the day-to-day operations including contact with respondents and claimants while investigating claims.

Following each meeting, the Board issues a press release regarding claims paid pursuant to the Board's policy. The Board also posts its press releases on the Board's website in the hopes that the public better will be able to obtain information about the Board's activities. The site also contains answers to frequently asked questions (FAQ), the Board's rules, claim form, staff directory, copies of the current and past annual reports and an updated list of attorneys against whom claims have been paid, similar to that at Appendix 4 (A. 5-8). The site also has links to articles written about the Client Security Board.<sup>4</sup>

The Minnesota Attorney General provides legal services to the Client Security Board in enforcing its subrogation rights against attorneys on whose behalf the Board has paid claims, or against any third persons from whom payments may be legally obtained. Assistant Attorneys General, Angela Behrens, Jackson Evans, and Kelly Kemp represent the Board in subrogation matters. The Board pays no attorney fees for this representation, but is responsible for direct costs of collection

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<sup>4</sup> The Client Security Board website address is: [www.mncourts.gov/csb](http://www.mncourts.gov/csb).

efforts and litigation. The Attorney General also assists the Board in being listed as a victim in criminal restitution orders where appropriate.

Also as a part of the collection process, the Board may forward matters to the Minnesota Department of Revenue (MDOR), which has a division created to assist government agencies in their collection work. Although the Attorney General continues to handle most matters for the Board that are contested, or that appear capable of prompt resolution, other matters are now referred to MDOR to pursue. The referrals to MDOR are now made electronically, thereby, allowing referrals to be made more quickly and decreasing the amount of paperwork necessary for a referral.

**Claims Procedure.** Claims are initiated by submitting the claim to the Director's Office on forms approved by the Board. Claimants are provided the forms and a brochure to help explain the process. The claim form, and copies of the Board's rules are also available via the Board's website.

The respondent attorney is provided an opportunity to respond in writing, although frequently no response is received. The Board also has access to all lawyer disciplinary files, from which considerable information can be obtained.

The rules provide that claimants are expected to pursue reasonably available civil remedies. In order to avoid hardship and provide prompt claim resolution and payment, the Board occasionally exercises its discretion by waiving this requirement when it is already known that the Attorney General will be pursuing litigation against the attorney under the Board's subrogation rights.

In most cases, attorney disciplinary proceedings will have been completed before any Client Security payment is made. The Board generally relies upon findings made in related lawyer disciplinary action concerning misappropriation, or in related civil or criminal cases whenever possible. The Board has no aggregate

limits on the amount that may be paid on a lawyer's behalf. The maximum payment per claim is \$150,000, one of the highest limits in the country. It appears to remain a sufficient maximum amount at this time.

If a claim is denied, the claimant and respondent are notified in writing of the Board's determination and reasoning. The claimant has the right to request reconsideration and a discretionary meeting with the Board, so that all claimants have a full opportunity to present the merits of their claim.

### III. GOALS AND OBJECTIVES

No major funding issues are anticipated this coming year. The Board has experienced members who continue to provide sound leadership. The Fund's principal goal, as always, is to provide as close to 100 percent payment to 100 percent of the valid claims, subject to the Board's maximum payment of \$150,000. Prompt resolution of claims following disciplinary or criminal proceedings is the other major goal for the Board every year.

Respectfully submitted,

Dated: July 8, 2009.

  
\_\_\_\_\_  
ROBERT T. LUND, CHAIR  
MINNESOTA CLIENT SECURITY BOARD

Dated: July 2, 2009.

  
\_\_\_\_\_  
MARTIN A. COLE, DIRECTOR  
MINNESOTA CLIENT SECURITY BOARD

Dated: July 1, 2009.

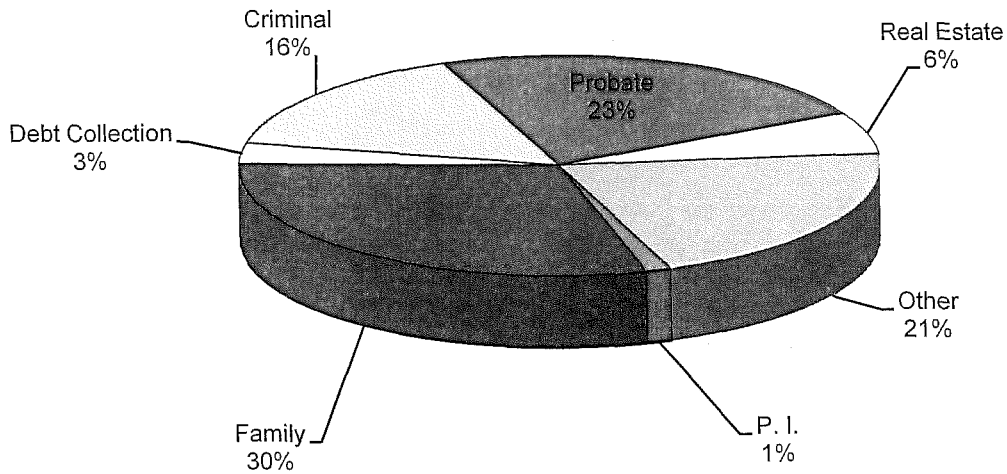
  
\_\_\_\_\_  
JULIE E. BENNETT, ASSISTANT DIRECTOR  
MINNESOTA CLIENT SECURITY BOARD



**Awards of Reimbursement - July 1, 2008  
through June 30, 2009**

**This table summarizes, by area of law, all claims for reimbursement  
approved by the Board during fiscal year 2009.**

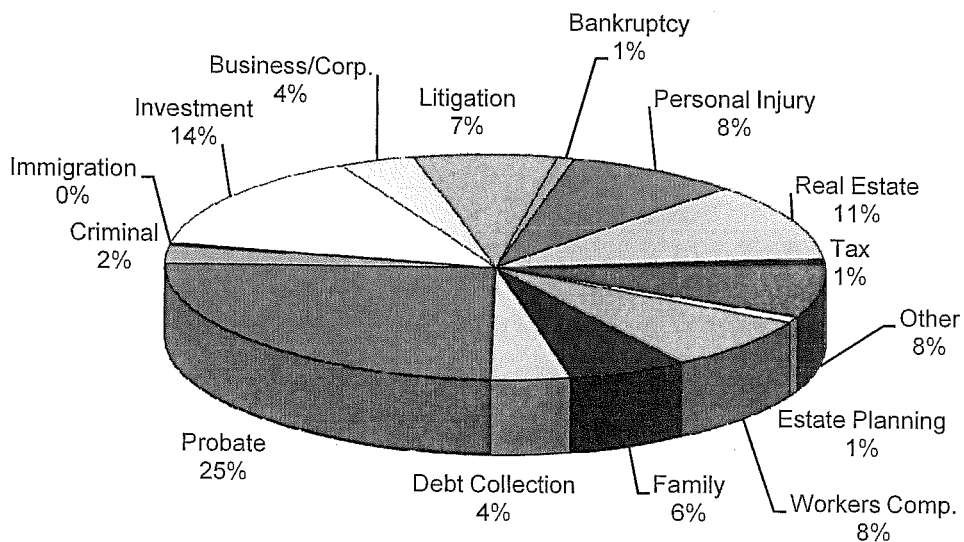
| <b>Area of Law</b> | <b>Number of Awards</b> | <b>Amount of Awards</b> |
|--------------------|-------------------------|-------------------------|
| Criminal           | 5                       | \$19,000.00             |
| Debt Collection    | 1                       | \$3,614.67              |
| Family             | 3                       | \$35,891.00             |
| Other              | 2                       | \$25,242.61             |
| Personal Injury    | 1                       | \$1,500.00              |
| Probate            | 2                       | \$28,012.18             |
| Real Estate        | 1                       | \$7,395.42              |
| <b>Total</b>       | <b>15</b>               | <b>\$120,655.88</b>     |



**Awards of Reimbursement - July 1, 1987  
through June 30, 2009**

**This table summarizes, by area of law, all claims for reimbursement  
approved by the Board since 1987.**

| <b>Area of Law</b>   | <b>Number of Awards</b> | <b>Amount of Awards</b> |
|----------------------|-------------------------|-------------------------|
| Bankruptcy           | 19                      | \$52,483.30             |
| Business/Corporation | 12                      | \$225,607.40            |
| Criminal             | 33                      | \$154,668.69            |
| Debt Collection      | 38                      | \$232,607.30            |
| Estate Planning      | 2                       | \$49,409.03             |
| Family               | 67                      | \$354,039.61            |
| Immigration          | 13                      | \$21,800.00             |
| Investment           | 15                      | \$854,609.47            |
| Litigation           | 42                      | \$420,784.34            |
| Other                | 65                      | \$465,778.26            |
| Personal Injury      | 33                      | \$503,896.05            |
| Probate              | 56                      | \$1,484,702.27          |
| Real Estate          | 40                      | \$655,178.26            |
| Tax                  | 10                      | \$46,212.28             |
| Workers Comp         | 18                      | \$465,114.70            |
| <b>Total</b>         | <b>463</b>              | <b>\$5,986,890.96</b>   |



**CLIENT SECURITY FUND FINANCIAL HISTORY**

| <b>Fiscal Year</b> | <b>Contribution by Bar</b> | <b>Investment Income</b> | <b>Restitution</b> | <b>Number of Claims Paid</b> | <b>Amt. Paid to Claimants</b> | <b>Other Expenses</b> | <b>Balance Year End</b> |
|--------------------|----------------------------|--------------------------|--------------------|------------------------------|-------------------------------|-----------------------|-------------------------|
| 1994               | \$ 369,320                 | \$ 47,244                | \$ 9,830           | 24                           | \$ 123,600                    | \$ 24,538             | \$1,398,118             |
| 1995               | \$ 349,424                 | \$ 85,075                | \$ 37,075          | 14                           | \$ 62,421                     | \$ 25,471             | \$1,781,800             |
| 1996               | \$ 368,450                 | \$ 82,630                | \$ 31,361          | 22                           | \$ 705,524                    | \$ 35,427             | \$1,523,290             |
| 1997               | \$ 375,730                 | \$ 94,547                | \$ 23,797          | 12                           | \$ 103,073                    | \$ 27,207             | \$1,887,084             |
| 1998               | \$ 255,762                 | \$ 119,276               | \$ 25,217          | 35                           | \$ 341,984                    | \$ 40,481             | \$1,904,874             |
| 1999               | \$ 325,207                 | \$ 118,078               | \$ 200,416         | 42                           | \$ 413,231                    | \$ 35,575             | \$2,099,769             |
| 2000               | \$ 328,746                 | \$ 121,970               | \$ 45,783          | 23                           | \$ 481,187                    | \$ 50,814             | \$2,064,267             |
| 2001               | \$ 333,805                 | \$ 134,507               | \$ 80,028          | 14                           | \$ 99,073                     | \$ 61,436             | \$2,452,098             |
| 2002               | \$ 342,067                 | \$ 89,230                | \$ 75,961          | 38                           | \$ 330,117                    | \$ 58,390             | \$2,570,849             |
| 2003               | \$ 322,891                 | \$ 61,824                | \$ 22,994          | 27                           | \$ 140,272                    | \$ 35,028             | \$2,803,258             |
| 2004               | \$ 252,034                 | \$ 32,351                | \$ 87,177          | 31                           | \$ 759,150                    | \$ 68,486             | \$2,347,184             |
| 2005               | \$ 253,728                 | \$ 53,390                | \$ 31,018          | 7                            | \$ 195,025                    | \$ 37,885             | \$2,452,410             |
| 2006               | \$ 255,894                 | \$ 110,597               | \$ 87,217          | 17                           | \$ 234,848                    | \$ 44,375             | \$2,626,895             |
| 2007               | \$ 288,725                 | \$ 148,689               | \$ 73,744          | 2                            | \$ 135,417                    | \$ 52,996             | \$2,949,637             |
| 2008               | \$ 320,403                 | \$ 147,062               | \$ 135,226         | 9                            | \$ 168,905                    | \$ 63,134             | \$3,320,289             |
| 2009 thru 5/31     | \$ 48,687                  | \$ 78,580                | \$ 124,361         | 12                           | \$ 85,912                     | \$ 24,897             | \$3,461,108             |

# Minnesota Client Security Board Members 1987-2009

## BOARD MEMBERS

|                             |                |            |
|-----------------------------|----------------|------------|
| *Sister Mary Madonna Ashton | St. Paul       | 1992-1998  |
| Bailey W. Blethen           | Mankato        | 1991-1997  |
| Daniel L. Bowles            | Edina          | 1994-2000  |
| *Sandra Brown               | Minnetonka     | 1990-1996  |
| Kim Buechel Mesun           | St. Paul       | 1993-1999  |
| Kenneth D. Butler           | Duluth         | 2008-2011  |
| Richard I. Diamond          | Minnetonka     | 1997-2003  |
| Gary G. Fuchs               | Eagan          | 2002-2008  |
| Gilbert W. Harries          | Duluth         | 1987-1991  |
| *Sheldyn M. Himle           | Minneapolis    | 2008-2011  |
| *Jean L. King               | St. Paul       | 1987-1992  |
| Timothy J. Kuntz            | South St. Paul | 1996-2002  |
| Earle F. Kyle IV            | Minneapolis    | 1993-1996  |
| Robert T. Lund              | Bloomington    | 2003-2009  |
| Richard A. Nethercut        | Harmoney       | 2006-2012  |
| *Beverly K. McKinnell       | St. Paul       | 1996-2002  |
| Timothy M. O'Brien          | Minneapolis    | 2007-2010  |
| Melvin I. Orenstein         | Minneapolis    | 1987-1993  |
| *Constance S. Otis          | St. Paul       | 1987-1990  |
| *Judith A. Pinke            | Minneapolis    | 1998-2004  |
| Michael T. Rengel           | Fergus Falls   | 2001-2007  |
| *Bonnie R. Russ             | St. Paul       | 2004 -2010 |
| Daniel L. Rust              | Crookston      | 1995-2001  |
| Warren R. Sagstuen          | Minneapolis    | 2000       |
| *Sally D. Sawyer            | Minneapolis    | 2002-2008  |
| Ronald B. Sieloff           | St. Paul       | 1987-1994  |
| Harriet J. Sims             | Minneapolis    | 2005-2011  |
| James B. Vessey             | Minneapolis    | 1987-1993  |
| Nancy L. Vollertsen         | Rochester      | 1987-1995  |
| John S. Watson              | Minneapolis    | 2000-2006  |
| Margaret L. Westin          | Minneapolis    | 1999-2005  |

\*Public Members

### CSB Claims Paid by Attorney

| Respondent             | Number of Claims | Amount Paid  | Disciplinary Action        | City           |
|------------------------|------------------|--------------|----------------------------|----------------|
| Amdahl, Charles O.     | 2                | \$10,000.00  |                            | Minneapolis    |
| Anderson, Arne D.      | 4                | \$4,950.00   | Suspended                  | Duluth         |
| Anderson, Harold W. E. | 2                | \$39,258.97  | Disbarred                  | Grand Forks    |
| Andrew, John M.        | 2                | \$100,000.00 | Disbarred                  | Shoreview      |
| Barta, Loren M.        | 3                | \$8,947.93   | Suspended                  | Prior Lake     |
| Batdorf, Richard K.    | 1                | \$50,000.00  | Disbarred                  | Minneapolis    |
| Benson, John T.        | 1                | \$50,000.00  | Disbarred                  | St. Paul       |
| Berg, James L.         | 8                | \$73,529.03  | Disbarred                  | Minneapolis    |
| Bieter, Thomas         | 2                | \$1,500.00   | Disability/Inactive        | Duluth         |
| Brehmer, David L.      | 7                | \$7,656.25   | Disbarred                  | Bloomington    |
| Brudvig, Thomas M.     | 4                | \$3,374.00   | Suspended                  | Roseville      |
| Campbell, Dyan L.      | 5                | \$2,953.53   | Suspended                  | North St. Paul |
| Carpenter, Gregory A.  | 1                | \$1,000.00   | Suspended                  | Minneapolis    |
| Chacon, Jeanne T.      | 1                | \$700.00     | Disbarred                  | Shakopee       |
| Cohen, Sr., Edward M.  | 1                | \$2,245.83   | Disbarred                  | St. Louis Park |
| Coleman, Richard J.    | 1                | \$1,000.00   | Public Reprimand/Probation | West St. Paul  |
| Crissey, Heidi         | 1                | \$3,000.00   | Suspended                  | Stillwater     |
| Danna, Anthony A.      | 3                | \$81,625.00  | Disbarred                  | St. Paul       |
| Davis, Daniel A.       | 3                | \$44,486.66  | Disbarred                  | Edina          |
| Day, Richard G.        | 2                | \$1,000.00   | Suspended                  | Edina          |
| DeRycke, Eric A. L.    | 1                | \$2,000.00   | Disbarred                  | Lake Benton    |
| Douglas, Bruce C.      | 11               | \$225,309.60 | None                       | Edina          |
| Dovolis, Helen A.      | 16               | \$78,317.19  | Disbarred                  | Minneapolis    |
| Dygert, Robert W.      | 3                | \$70,491.00  | Disbarred                  | Minneapolis    |
| Engwall, Gregory B.    | 4                | \$174,262.18 |                            | Winthrop       |
| Erickson, Bruce E.     | 2                | \$1,995.00   | Suspended                  | Winona         |
| Feldman, John H.       | 2                | \$12,954.00  | Disbarred                  | Minneapolis    |
| Flanagan, John J.      | 6                | \$113,626.59 | Disbarred                  | St. Paul       |
| Flodine, Michael T.    | 1                | \$3,000.00   | Suspended                  | St. Paul       |
| French, Rodney M.      | 6                | \$4,062.50   | Suspended                  | Minneapolis    |
| Getty, Paris DonRay    | 5                | \$24,278.00  | Disbarred                  | St. Paul       |

CSB Claims Paid by Attorney (Cont'd.)

|                        |    |              |                                  |                  |
|------------------------|----|--------------|----------------------------------|------------------|
| Goldstein, Robert Mark | 4  | \$11,173.40  | Disbarred                        | St. Paul         |
| Gomsrud, Richard G.    | 1  | \$700.00     | Suspended                        | St. Paul         |
| Graham, Timothy E.     | 3  | \$6,257.98   | Disbarred                        | Rochester        |
| Gronbeck, David        | 1  | \$500.00     | Suspended                        | Minneapolis      |
| Grzybek, John E.       | 1  | \$750.00     | Disbarred                        | St. Paul         |
| Gurstel, Norman K.     | 33 | \$147,270.05 | Disbarred                        | Edina            |
| Hanvik, James T.       | 1  | \$264.00     | Suspended                        | Minneapolis      |
| Harp, Reynaud L.       | 2  | \$3,702.00   | Disbarred                        | St. Paul         |
| Heikens, Steven G.     | 2  | \$12,800.00  | Suspended                        | Minneapolis      |
| Heikkila, Neil D.      | 2  | \$90,916.82  | Disbarred                        | Hopkins          |
| Hendricksen, Harald F. | 2  | \$17,875.00  | Suspended                        | Annandale        |
| Henke, David E.        | 1  | \$1,000.00   | Suspended                        | Spring Lake Park |
| Hollender, R. Fred     | 1  | \$2,227.74   | None                             | Minneapolis      |
| Hoover, Michael J.     | 1  | \$1,500.00   | Disability/Inactive              | Minneapolis      |
| Hunter, James W.       | 5  | \$21,900.00  | Disbarred                        | Minneapolis      |
| Isaacs, Clark F.       | 1  | \$535.78     | Disbarred                        | St. Paul         |
| Jellinger, Richard     | 1  | \$1,000.00   | Disbarment (stayed)<br>Suspended | Anoka            |
| Johnson, Richard W.    | 2  | \$9,362.00   | Disbarred                        | Red Wing         |
| Johnson, Ronald J.     | 1  | \$7,196.71   | Disbarred                        | Hopkins          |
| Kadinger, George M.    | 2  | \$1,500.00   | Suspended                        | St. Paul         |
| Kaszynski, William P.  | 1  | \$550.00     | Disbarred                        | St. Paul         |
| Kinnunen, Steven J.    | 1  | \$500.00     | Suspended                        | Minneapolis      |
| Klane, Murray R.       | 1  | \$94,647.88  | Disbarred                        | Minnetonka       |
| LaChapelle, Arthur W.  | 2  | \$18,400.00  | Disbarred                        | St. Paul         |
| Ladd, William L.       | 13 | \$49,542.60  | Disbarred                        | Minneapolis      |
| Larsen, Dean D.        | 1  | \$40,000.00  | Disbarred                        | Eden Prairie     |
| Leino, Stanley J.      | 6  | \$9,921.06   | Suspended                        | Scandia          |
| Levenstein, Eli C.     | 1  | \$368.00     | Suspended                        | Minneapolis      |
| Logan, Diana S.        | 3  | \$560.00     | Suspended                        | Minneapolis      |
| Magadance, Pamela M.   | 1  | \$585.00     |                                  | St. Paul         |
| Maresh, Thomas F.      | 1  | \$6,500.00   | Disbarred                        | Eden Prairie     |
| Marshall, Gary L.      | 7  | \$24,170.00  | Disbarred                        | Hoffman          |

CSB Claims Paid by Attorney (Cont'd.)

|                            |    |              |                     |                 |
|----------------------------|----|--------------|---------------------|-----------------|
| McCarthy, Justin H.        | 2  | \$58,679.24  | Disbarred           | St. Louis Park  |
| McCormick, David L.        | 3  | \$16,500.00  | Suspended           | Minneapolis     |
| McGrath, F. Patrick        | 1  | \$1,128.00   | Suspended           | St. Paul        |
| McNabb, Gerald             | 13 | \$147,866.06 | Disbarred           | St. Paul        |
| Merlin, Carol Sue          | 1  | \$500.00     | Suspended           | Minneapolis     |
| Meshbeshier, Richard M.    | 2  | \$5,500.00   | None                | Minnetonka      |
| Moe, Carlton E.            | 1  | \$89,325.52  | Disbarred           | West St. Paul   |
| Morgeson, Sr., Dennis John | 8  | \$547,922.67 | Disability          | Edina           |
| Mortensen, William C.      | 2  | \$7,764.00   | Disability/Inactive | St. Louis Park  |
| Mose, William G.           | 2  | \$400.00     | Suspended           | Bloomington     |
| Mulvahill, James P.        | 1  | \$15,000.00  | Disbarred           | Wayzata         |
| Murphy, Gerald W.          | 9  | \$4,980.99   | Disbarred           | Duluth          |
| Nelson, John A.            | 3  | \$245,024.03 | Disbarred           | Willmar         |
| Olsen, Lawrence E.         | 1  | \$50,000.00  | Disbarred           | Bloomington     |
| Orlins, Peter I.           | 11 | \$419,843.39 | Disbarred           | Richfield       |
| Ornstein, Mitchell R.      | 1  | \$919.75     | Suspended           | Minneapolis     |
| Ostfield, Benjamin J.      | 3  | \$15,297.72  | Disbarred           | Minneapolis     |
| Ostroot, Timothy V.        | 1  | \$1,200.00   | Disbarred           | Champlin        |
| Palm, Dennis Lee           | 2  | \$4,080.00   | Disability/Inactive | Little Canada   |
| Pang, Gary Y.              | 3  | \$6,323.00   | Disbarred           | Minneapolis     |
| Pearson, Kenneth R.        | 2  | \$39,000.00  | Disbarred           | Golden Valley   |
| Pegg, J. C.                | 1  | \$2,500.00   | Reprimanded         | Owatonna        |
| Pierce, Brian T.           | 1  | \$2,500.00   | Disbarred           | Lakefield       |
| Pitzele, Mark D.           | 3  | \$16,419.84  | Disbarred           | St. Louis Park  |
| Ploetz, John W.            | 2  | \$108,494.71 | Disbarred           | St. Paul        |
| Plowman, George E.         | 4  | \$81,144.77  | Disbarred           | Prior Lake      |
| Polt, Thomas M.            | 3  | \$17,082.02  | Disbarred           | Eyota           |
| Pucel, Cherylyn T.         | 1  | \$3,500.00   | Suspended           | Minneapolis     |
| Pyles, David A.            | 1  | \$16,450.00  | Suspended           | Bloomington     |
| Ramler, George C.          | 1  | \$1,500.00   | Disbarred           | Chanhausen      |
| Randall, Michael H.        | 2  | \$4,708.00   | Disbarred           | Brooklyn Center |
| Redburn, David Timothy     | 2  | \$3,100.00   | Suspended           | Brooklyn Park   |
| Rhodes, Bradley C.         | 2  | \$1,850.00   | Disbarred           | Aitkin          |
| Rhodes, Julia K. Satterlee | 3  | \$6,250.00   | Suspended           | Hopkins         |
| Rondestvedt, Stephen J.    | 23 | \$841,339.46 | Disbarred           | Minneapolis     |

CSB Claims Paid by Attorney (Cont'd.)

|   |            |                       |            |                     |
|---|------------|-----------------------|------------|---------------------|
| Rothstein, Morry N.                         | 3          | \$7,500.00            | Disbarred  | Minneapolis         |
| Ruttger III, Max J.                         | 1          | \$25,678.15           | Disbarred  | Brainerd            |
| Samborski, Steve C.                         | 23         | \$231,829.50          | Disbarred  | Minnetonka          |
| Sampson, Mark A.                            | 20         | \$404,742.04          | Disbarred  | Fridley             |
| Schmitt, Martha G.                          | 1          | \$14,624.62           | Suspended  | Minneapolis         |
| Scott, John O.                              | 2          | \$57,821.34           | None       | Perham              |
| Seiler, Victor P.                           | 1          | \$2,810.77            | None       | Minneapolis         |
| Sheffey, Ralph E.                           | 1          | \$5,000.00            | Suspended  | Rochester           |
| Simonet, William B.                         | 5          | \$50,411.56           | Disbarred  | Stillwater          |
| Simonson, Paul L.                           | 1          | \$2,360.23            | Disbarred  | Minneapolis         |
| Singer, Michael G.                          | 1          | \$63,000.00           | Suspended  | Minneapolis         |
| Skonnord, James T.                          | 5          | \$2,349.26            | Suspended  | St. Paul            |
| Smith, Glenn L.                             | 3          | \$139,391.05          | Disbarred  | Edina               |
| Soderberg, James W.                         | 1          | \$557.87              | Suspended  | Winona              |
| Soronow, Steven F.                          | 18         | \$28,180.00           | Suspended  | Minneapolis         |
| Soronow, Steven F. and<br>Weber, Deborah A. | 1          | \$1,300               |            | Minneapolis         |
| Stockman, William L.                        | 1          | \$25,000.00           | Disbarred  | Duluth              |
| Strid, Dennis W.                            | 1          | \$1,197.00            | Suspended  | Minneapolis         |
| Sullivan, Kevin P.                          | 1          | \$200.00              | Suspended  | Elk River           |
| Swerine, Brian A.                           | 8          | \$23,645.40           | Disbarred  | Brainerd            |
| Thompson, Joel R.                           | 2          | \$6,160.00            | Suspended  | Detroit Lakes       |
| Ulstad, Bjorn                               | 1          | \$2,500.00            | None       | St. Paul            |
| Vaught, Samuel M.                           | 2          | \$85,685.71           | Suspended  | St. Paul            |
| Verbrick, Michael D.                        | 2          | \$5,000.00            | Disability | St. Paul            |
| Vinitsky, Richard S.                        | 2          | \$20,000.00           | Disability | St. Paul            |
| Walker Jr., Samuel                          | 5          | \$19,945.00           | Disbarred  | St. Paul            |
| Weems, Mark T.                              | 7          | \$70,901.64           | Disbarred  | Shoreview           |
| Wheat, Donald A.                            | 3          | \$37,841.70           | Disbarred  | Eagan               |
| Wheat, Donald A. (2)                        | 1          | \$68,299.67           | Disbarred  | Eagan               |
| White, Thomas Joseph                        | 2          | \$13,400.00           | Disbarred  | Minneapolis         |
| Wyant, Bruce P.                             |            |                       | Disbarred  | see <i>Morgeson</i> |
| Wylde, John R.                              | 1          | \$3,775.00            | None       | Minneapolis         |
| <b>Total Respondents 130</b>                | <b>463</b> | <b>\$5,986,890.96</b> |            |                     |